SFP 0 4 2018

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL

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FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM		
Part I Initial Listing Report		
 Name of Self-Regulatory Organization Listing New Derivative Securities Product: Nasdaq PHLX LLC (traded pursuant to unlisted trading privileges) 		
 Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open End Management Investment Company 		
 Class of New Derivative Securities Product: Exchange Traded Fund 		
4. Name of Underlying Instrument: Morningstar Women?s Empowerment Index		23
If Underlying Instrument is an Index, State Whether it is Broad-based	Broad-Based or Narrow-Based:	SEP III
6. Ticker Symbol(s) of New Derivative Securities Product: WOMN		5 E
 Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: NASDAQ Stock Market LLC, NYSE 		
8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 2 (cash settled)		
 Position Limits of New Derivative Securities Product (if applicable): N/A 		
Part II Execution		
The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.		
Name of Official Responsible for Form: William Slattery		
Title: Vice President		
Telephone Number: +1 301 9788088	18010454	
Manual Signature of Official Responsible for Form: Manual Signature of Official Responsible for Form: Date: August 29, 2018	Act Securities Exchange Act of 1934 Section 195-4	
SEC 2449 (6-01)	Public SEP 0 4 2018	